|  |  |
| --- | --- |
| Reasons/purpose of the transfer of securities between BO accounts held in NSDL system. | Reasons/purpose of the transfer of securities from BO accounts held in NSDL system to account held in other depository. |
| Reason Code | Reasons/purpose to be selected | Reason Code | Reasons/purpose to be selected |
| 1 | Off-market sale | 1 | Off-market sale |
| 4 | Transfer to own account | 4 | Transfer to own account |
|  |  | 10 | Market IDT Identifier |
| 12 | Buy-back | 12 | Buy-back |
| 13 | Open offer for Acquisition | 13 | Open offer for Acquisition |
| 14 | Redemption of Mutual Fund Units | 14 | Redemption of Mutual Fund Units |
| 15 | Transposition – Between joint account of same holders | 15 | Transposition – Between joint account of same holders |
| 16 | Merger/Demerger of corporate entity | 16 | Merger/Demerger of corporate entity |
| 17 | Dissolution/Restructuring/Winding up of Partnership Firm/Trust  | 17 | Dissolution/Restructuring/Winding up of Partnership Firm/Trust  |
| 18 | Conversion of Depository Receipt (DR) to underlying securities and vice versa | 18 | Conversion of Depository Receipt (DR) to underlying securities and vice versa |
| 19 | Trust to Beneficiaries/On HUF dissolution to Karta & Coparceners | 19 | Trust to Beneficiaries/On HUF dissolution to Karta & Coparceners |
| 20 | Certificate of Deposit Redemption | 20 | Certificate of Deposit Redemption |
| 22 | Commercial Paper Issuance | 22 | Commercial Paper Issuance |
| 88 | Transfer between Minor Account and Guardian Account | 88 | Transfer between Minor Account and Guardian Account |
| 89 | Transfer between specified family members1.Spouse,2.Father (including step-father),3.Mother(including step-mother),4.Son(including step-son),5.Son’s wife,6.Daughter,7.Daughter’s husband,8.Brother(including step-brother),9.Sister(including step-sister),10. Members of same HUF. | 89 | Transfer between specified family members1.Spouse,2.Father (including step-father),3.Mother(including step-mother),4.Son(including step-son),5.Son’s wife,6.Daughter,7.Daughter’s husband,8.Brother(including step-brother),9.Sister(including step-sister),10. Members of same HUF. |
| 90 | Transfer between Partner and Firm, or Director and company | 90 | Transfer between Partner and Firm, or Director and company |
| 91 | Payout – On payment for Unpaid securities | 91 | Payout – On payment for Unpaid securities |
| 92 | Gift | 92 | Gift |
| 93 | Donation | 93 | Donation |
| 94 | Refund of securities by IEPF Authority | 94 | Refund of securities by IEPF Authority |
| 95 | ESOP/Transfer to employee | 95 | ESOP/Transfer to employee |
| 96 | Implementation of Govt./Regulatory Direction/Orders | 96 | Implementation of Govt./Regulatory Direction/Orders |
| 97 | Erroneous Transfer pertaining to client Securities | 97 | Erroneous Transfer pertaining to client Securities |
| 98 | Meeting Legitimate Dues of Stock Broker | 98 | Meeting Legitimate Dues of Stock Broker |
|  |  |  |  |